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Linear Relationship between Weighted-average Madelung Constants and Density Functional Theory Energies for MgO Nanotubes

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For systems containing large numbers of ions, calculations using Density Functional Theory (DFT) are often impractical because of the amount of time needed to perform the computations. In this paper, we show that weighted-average Madelung constants of MgO nanotubes correlate in an essentially perfectly linear way with cohesive energies determined by DFT. We discuss this correlation in terms of the relationship between lattice energies and cohesive energies. Through this linear correlation, Madelung constants are used to predict cohesive energies and average ion charges of nanostructures containing up to 3940 ions. Cohesive energies of MgO nanotubes are shown to converge to a value lower than those of bulk MgO. Using the slopes of the DFT versus Madelung constant plots the average charges on the ions in the nanotubes are determined. For nanotubes containing the same number of ions, the relative stability of longer tubes versus disc-like structures is discussed.

KEYWORDS: Nanotubes, Density Functional Theory, Magnesium Oxide, Madelung Constant

INTRODUCTION

The structures and relative stabilities of ionic nanotubes are important in both a fundamental and applied sense. The quest to understand their structures and relative stabilities has stimulated a large research effort in synthetic and theoretical chemistry circles ¹⁻⁸. Recently a DFT study of the relative stabilities of alkali halide nanotubes has appeared in this journal⁹. In this paper, we show how energy calculations for MgO nanotubes can be performed many times faster using weighted-average Madelung constant calculations.

Bonding in bulk ionic materials such as MgO and NaCl occurs predominantly via electrostatic coulombic interactions. Indeed, lattice and cohesive energies can be accurately modeled using Born-Mayer-like potential functions. However, the bonding occurring within nanostructures of these materials can be less straightforward because covalent interactions can become significant⁵. Quantum mechanical calculations have been adopted to attack this issue, with DFT (Density Functional Theory) methods being the most popular. This route is computationally challenging and so far calculations have been limited to clusters containing fewer than 500 ions.

Assessing the ionic contribution to the bonding in nanostructures is less challenging. Electrostatic potentials can be determined via coulomb sums combined with the Madelung Constant ¹⁰⁻¹². We have developed algorithms that allow the rapid determination of Madelung Constants (and thus electrostatic energies) for even large arrays of ions¹¹. For finite structures, ions in different environments are present, and have different Madelung Constants. The algorithms we have developed can generate all these values, and they relate to the electric potential associated with each ion, and as such are useful for investigating surface properties.

Also the weighted average Madelung Constant, MC(wa), of all the individual ions in a structure can be determined, and is proportional to the coulombic contribution to the overall cohesive energy of a cluster of ions. Indeed, it is implemented in the Born-Mayer equation (equation 5, vide infra).

Our methods circumvent the need for partial charges required by the Ewald¹³ and Evjen¹⁴ approaches to the calculation of Madelung Constants and are, in contrast to these methods, applicable to neutral and finite nanostructures. The MC(wa) values determined in this paper are correlated with our DFT calculations on MgO nanotubes of various shapes and sizes. As shown in Table 3, the MC(wa) values are almost perfectly linearly correlated with our DFT calculations on MgO nanotubes of various shapes and sizes. It is important to note that the MC(wa) values for the nanotubes studied here are significantly different from the bulk value and also do not converge to the bulk value commonly used in lattice energy calculations.

COMPUTATIONAL METHODS

Weighted-average Madelung Constants were computed via coulomb sum algorithms within a particular structure¹¹⁻¹³. The usual assumptions of point charges with a closest near-neighbor distance of unity were followed¹¹. The determinations, even for particles with 100,000 ions, run in a few seconds on a desktop computer using Fortran 77 algorithms and double precision arithmetic. DFT calculations were undertaken in the Gaussian 09 suite¹⁵ using Microsoft Windows and Macintosh OS X platforms. Energy minimizations were effected using a 6-311G(d) basis set and a B3LYP functional. All nanotube cohesive energies in this paper are given in eV. The formalism used to describe the nanotubes is illustrated in Fig 1. Each tube is identified by the number of ions in the polygon base and the number of layers of the stacked polygons in the nanotubes. For example a nanotube with 8 ions in the base (4 Mg and 4 O) and 5

repeat units (see Fig 1b) is designated as a 5L8 tube. So 5L8 explicitly means five layers of eight ions. Collectively tubes will be referred to by their base polygon sizes so the 5L8 tube is a member of the L8 family of nanotubes. Data are reported for two general types of nanotubes; 1. those with an "ideal" structure where each layer of the nanotube is a perfectly regular polygon and 2. those which have an optimized geometry produced by the DFT routine. In this paper these will be distinguished by the descriptors ideal and optimized.

The cohesive binding energy E(coh) of the MgO nanotubes was determined by considering the net chemical reaction to form the structures⁵:

$$2nMg(g) + nO_2(g) \rightarrow 2nMgO(tube)$$
: {1}

where n is the number of ion pairs in the nanotube, and 2nE(coh) is the energy change associated with this reaction.

thus;

$$E(coh) = 1/n[E(tube) - n\{E_0(Mg)\} - \frac{n}{2}\{E_0(O_2)\}]$$
⁽²⁾

E(tube) is the total energy of the nanotube determined from DFT methods and E_0 (Mg) and $E_0(O_2)$ are the total electronic energies of the Mg gas phase atom and the triplet ground state of O₂. These two energies thus serve as an arbitrary reference. Using a 6-311G(d) basis set and a B3LYP functional the energies of Mg and O₂ were -200.0930924 and -150.3647909 a.u. respectively. Geometry optimizations were launched with an initial guess geometry of an ideal nanotube with a near neighbor distance of 2Å. In all DFT geometry optimizations a subsequent frequency calculation was performed in order to confirm that a true minimum was reached. No negative frequencies were observed. The vibrational analyses will be presented in a future publication. DFT optimizations of ideal structures where each layer was maintained as a regular polygon (all bond lengths and angles were equal) was performed as follows. Mathematica was used to calculate the coordinates of each ion as the bond length was varied in each nanotube. The families of coordinates were then fed into the Gaussian DFT routine, from which the bond length that yielded the optimum energy was recorded. Data analysis and fitting procedures were conducted using the Origin 7 suite (Origin Lab, Northampton MA). Depictions of the structures were generated using Chemcraft Software (http://www.chemcraftprog.com). The average near-neighbor distance in the optimized structures was determined using the distance matrix produced by the Gaussian 09 software.

RESULTS AND DISCUSSION

Figure 2 shows plots of E(coh) (see equation 2 above) versus the weighted-average Madelung Constant (MC(wa)) for L4,L6,L8,L10 and L20 nanotubes with two to nine layers. The length of the tubes increases from left to right in all cases. Complete data are also assembled in Tables 1-3. Note that these values are not fitted values but are explicitly given from the DFT calculations and the values are given in Table 3. There is an almost perfect linear correlation (Correlation coefficient R values are given in Table 3) between E(coh) and the MC(wa) which warrants further discussion. Although the correlation between bulk lattice energies and MC's is well known, the correlation in this paper between DFT energies of nanotubes and their weighted-average MC has not been reported before. This can be framed by considering a step-wise thermodynamic route from the gas phase ions to a MgO nanotube (MgO(n)):

E Ecoh

 $Mg(g)^{z^{+}} + O(g)^{z^{-}} \rightarrow Mg(g) + \frac{1}{2}O_{2}(g) \rightarrow MgO(n)$ $\{3\}$

The overall process is, by definition, the lattice energy E(lat) of MgO(n) and so:

$$E(lat) = E + E(coh)$$
 {4}

Moreover the lattice energy can be determined via the Born-Mayer equation:

$$E(lat) = MC(wa) (z^{+} \cdot z^{-}) e^{2/4\pi\varepsilon_{0}r} + b \exp^{-ar}$$

$$\{5\}$$

Where r is the average-shortest Mg-O bond distance, b is a compressibility constant and a is a constant.¹⁴

Combining equations {4} and {5} and rearranging gives:

$$E(\operatorname{coh}) = MC(\operatorname{wa}) (z^{+} \cdot z^{-}) e^{2} / 4\pi \varepsilon_{0} r + b e^{-ar} - E$$
(6)

Thus a plot of E(coh) vs. MC(wa) will be linear with a slope of $(z^+ \cdot z^-) e^{2/4\pi\epsilon_0 r}$ and intercept b exp^{-ar} - E. It is important to note that this analysis uses the Madelung Constant for the ideal array of ions (for which the MC can only be used as it demands a perfect periodic array) and applies it to an optimized (non-perfect array). This is reasonable because the thermodynamic scheme in equation {3} can be modified by an additional step: MgO(n) \rightarrow MgO(n,opt) where the latter is the optimized nanotube. The energy associated with the step is independent of the Madelung Constant but will contribute to the intercept. This is discussed later.

We now return to the data assembled in Tables 2 and 3, and Fig. 2. First we consider the slope of the plots for the optimized structure. From equation {6} above and the average value of r from the DFT calculations (see Computational Methods above and Table 2) the average charge on magnesium and oxygen can be determined. In all cases, the charges are substantially less than the classic ± 2 used for the bulk material. Here, the charge varies from 1.307 for the 2L4 tube to 1.112 for the 2L20 tube. These values are in general agreement with several other studies ¹⁶⁻¹⁸. For example the 4L4 and 4L6 tubes values of 1.318 and 1.219 are in agreement with the data of Bilabegovich¹⁶ (1.39,1.4), Roberts and Johnston¹⁷ (1 to 1.3 and 1.1 to 1.5), Calvo¹⁸ (1.22.no value given) and Chen et al.⁵ (1.08,1.13). The data of Bilabegovich and Chen suggest that the average charge on the ions increases both with tube length and diameter (increasing number of

ions in the base of the tube). Our data agree with these trends as the tubes lengthen but are converse to their results as the tubes widen. Our data show that the charges are smaller for the same number of layers for increasingly wider nanotubes. (see Table 3). This is entirely consistent with the correlation between the DFT (cohesive energies) and the weighted- average Madelung Constants. The correlations shown in Figure 2 indicate that $z^2/4\pi\epsilon_0$ r (the slope) is constant for any family of nanotubes. Thus as r decreases with increasing nanotube diameter so must the effective charge also decrease. The data presented here are thus completely self-consistent.

It is interesting to compare the relative slopes and cohesive energies of the E(coh) vs. MC(wa) plots for the idealized structures, with those of the optimized structures which in all cases assumed a slightly barrel-like shape (see later). In all cases the geometry optimization produced the most stable nanotube. Nonetheless, the plots are not parallel. An example is shown in Fig.3 for the L10 and L20 families of tubes. It appears that for each family of tubes, there is a critical point where the ideal structure should be more stable. This is in fact not the case as we now discuss. We first consider the L4, L6, L8, and L10 tubes. The plots (mathematically) cross at MC(wa) values of 13.762,1.747,1.672 and 1.678 respectively. However, none of these values are physically reasonable. The maximum possible value for all of these lies in the range 1.595 (for the L4 tubes) to 1.621 (for the L20 tubes; see below for exact values). In other words, as with the bulk crystals the attainable Madelung Constants converge to a limiting value. In the case of the L4 tubes, the value exceeds that of the bulk material (1.747). In the other three cases the value of the MC is unattainable (i.e, is beyond the convergence value). No matter how long the tube is, the MC(wa) cannot reach these values. For the L4, L6, L8, L10 and L20 tubes the MC(wa) converges to 1.595,1.608,1.612, 1.613 and 1.621 respectively. This indicates that in the case of these tubes the barrel-like geometry will always be adopted. However in the case of the

L20 nanotube family, the crossing point is at MC(wa) = 1.615. This value can be achieved and occurs for a nanotube containing 7,500 layers. Thus tubes with more layers than this will adopt the ideal structure. The average Mg-O distance is approximately 2Å and so the critical tube length is about 1.5 microns. Beyond this, ideal tubes will form, but because the MC(wa) has converged and remains unchanged and less than the bulk value, all tubes longer than 1.5 microns will melt at the same temperature but lower than the bulk material.

We now consider the relative stability of the optimized L4, L6, L8, L10 and L20 families containing the same number of ions. In Table 2 the cohesive energies and MC(wa) are given for the small nanotubes that were used in DFT calculations (largest nanotube contains 90 ions). It is clear from the data that the most stable conformation of nanotubes containing the same number of ions cannot be predicted merely from the MC(wa). For example in the case of the 6L4 (Ecoh=-5.259 eV,MC = 1.548) , 4L6 (E(coh) = -5.422 eV, MC(wa) = 1.546) and 3L8 (E(coh) = -5.356, MC(wa) = 1.532). In this case for the 24-ion nanotubes the 4L6 is more stable even though the MC(wa) for the 6L4 is larger. However this can be reversed. For example; 4L5 (E(coh) = -5.145 eV, MC(wa) = 1.539)) and 2L10 (E(coh) = -5.046, MC(wa) = 1.497. Nonetheless, the E(coh) vs. MC correlation can be used to determine the E(coh) for nanotubes containing large nanotubes composed of too many ions to allow for DFT calculations in any reasonable time-frame. The cohesive energy is however easily determined from the slope and intercept of the E(coh) vs. MC(wa) plot and the value of MC(wa) for any size tube.

We now compare the relative stabilities of L4, L6, L8, L10 and L20 (optimized) tubes containing 3840, 1920,960,480 and 120 ions. The results are assembled in Table 4. In the case of the 3840-ion containing tubes the 192L20 (widest) tube is the most stable (E(coh) = -6.171 eV). In concert, the results for the 1920-ion containing tubes indicate the widest of the tubes studied

(96L20) is the most stable. This behavior is however not observed for tubes containing 960,480,240 and 120 ions. In the 960 ion nanotubes, the 96L10 tube is more stable. Note also that the MC(wa) is larger than the 48L20 nanotube. For the 480-ion tubes once again the L10 is the most stable (48L10: E(coh) = -6.122 eV, MC (wa) = 1.608). The L10 nanotube is also more stable in the case of 240 ions, but for 120 ions the L8 tube is most stable (E(coh) = -5.987 eV versus -5.982 eV for the 12L10 tube). It is certainly not intuitively obvious that this would be the case.

Finally we consider the magnitude of the barrel-like distortion of the ideal structures. Above we discussed how the additional energy associated with the stabilization from an ideal structure to the optimized geometry would contribute to the intercept of the E(coh) vs. MC plot. One would therefore expect that the largest difference in intercept (ΔI) between the ideal and optimized structure would result in the largest distortion. This is indeed the case as shown in Figure 4 and Table 3. The distortion is visually the largest for the 6L20 ($\Delta I = 2.797 \text{ eV}$) in contrast to 9L10 ($\Delta I = 1.367 \text{ eV}$), 9L8 ($\Delta I = 1.291 \text{ eV}$), 9L6($\Delta I = 1.117 \text{ eV}$) and 9L4 ($\Delta I = 10.121 \text{ eV}$).

Finally, we return to discuss the covalent bonding that may be significant for these nanotubes. The partial charges exhibited (vide supra; Table 3) which are markedly different from those exhibited by the bulk crystals indicate incomplete charge transfer and possibly some covalency. The degree of covalency in ionic nanotubes is certainly a matter of interest. It is tempting to conclude that the near perfect correlations we have found between cohesive energies and Madelung Constants (which relate to electrostatic interactions only) suggest that electrostatic interactions are dominant. However, there have been a number of earlier studies that have suggested that both types of bonding are present. For example, Calvo et al.¹⁸ have suggested that 10

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for cubic clusters of MgO it appears that there are significant electrostatic and covalent contributions to the bonding. In that scenario the explanation for the near perfect correlations would be more complex than invoking a dominant amount of ionic bonding. Furthermore, for the nanotubes we have studied the charges are significantly different from the classic bulk value of plus and minus 2 for the magnesium and oxide ions. Perhaps of interest is that as the tubes are enlarged (cross sectional width and length) the number of surface ions increases. Indeed unlike cubic clusters no bulk ions with six-fold coordination are present. In addition if you compare the nth and (n+1)th members of a series, then the change in lattice energy would equal the change in cohesive energy if no terms other than coulombic were playing a role. In fact they are not equal showing that the correlations we describe are not solely due to electrostatic effects. It has been suggested that the charge on ions in clusters increases with the gradual formation of higher coordination number ions The issues of charge and covalency are intertwined, and we are now engaging in additional studies to better quantify these.

SUMMARY AND CONCLUSIONS

In this paper we have demonstrated that the weighted average Madelung Constants for MgO nanotubes show an essentially perfect linear correlation with the cohesive binding energies. The slope of these plots can usefully be employed to assess the average charges of the ions in the structures. Moreover, the combination of intercept and slopes of the correlations can be used to predict the relative stabilities of large nanotubes.

The principal new physical insights that are a direct result of the work described above are:

- MgO nanotubes have smaller Madelung Constants than a bulk crystal no matter how long the tube is. This means they will melt at lower temperatures than the parent bulk material.
- The most stable nanotube within a family possessing the same number of ions is not necessarily the longest or widest.
- The critical length of L20 nanotube is about 1.5 microns. (7,500 layers). At this size, an idealized tube (undistorted) is the most stable conformation and beyond this length the melting point will be constant, but lower than the bulk value. Currently this transition can only be determined by the use of Madelung Constants.

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TABLES

TABLE 1: Weighted average Madelung Constants for MgO nanotubes.* Note that DFT calculations were only performed on tubes up to nine layers because of time constraints. (See Table 3)

Layers	L4	L6	L8	L10	L20
2	1.45602993	1.48388742	1.4931747	1.49720758	1.502686197
3	1.50119476	1.52477823	1.53240578	1.53564226	1.540011424
4	1.524971175	1.54566259	1.55226574	1.55503675	1.558761597
5	1.53898554	1.55813184	1.56415703	1.56665266	1.57000792
6	1.54840068	1.56645696	1.5720882	1.57440456	1.577505674
7	1.55510003	1.5724003	1.5777526	1.57993566	1.5828612
8	1.56013515	1.5768588	1.58200108	1.58409086	1.58687785
9	1.56404646	1.58032616	1.5853054	1.587315	1.5900019
10	1.56717797	1.58310019	1.5879488	1.5890201	1.59250115
12	1.57187356	1.58726116	1.59191408	1.593821	1.59625002
14	1.57522765	1.59023328	1.59474636	1.59764606	1.59892788
16	1.5774324	1.59246237	1.59687058	1.59861874	1.60093611
18	1.57969981	1.59419611	1.59852275	1.60032295	1.60249813
20	1.58126508	1.5955831	1.59984448	1.60152432	1.60374776

* Madelung

С

Constant for

bulk

MgO

1.74756459

is

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TABLE 2: Data for Optimized MgO Nanotubes : Top to bottom in each cell: Cohesive Energies

(eV), average MgO near-neighbor distances (Å) and average ion charges (atomic units).

Layers	L4	L6	L8	L10	L20
5					
2	-4.096	-4.748	-4.972	-5.046	-5.151
	1.969	1.954	1.948	1.945	1.940
	1.307	1.201	1.157	1.143	1.112
3	-4.867	-5.201	-5.358	-5.421	-5.494
	1.997	1.978	1.972	1.970	1.966
	1.316	1.216	1.164	1.150	1.120
4	-4.974	-5.422	-5.556	-5.601	-5.567
	2.004	1.988	1.982	1.980	1.976
	1.318	1.219	1.167	1.153	1.122
5	-5.145	-5.554	-5.674	-5.721	-5.769
	2.009	1.993	1.988	1.986	1.982
	1.320	1.221	1.169	1.155	1.124
6	-5.259	-5.641	-5.752	-5.794	-5.837
	2.012	1.997	1.992	1.990	1.987
	1.321	1.222	1.171	1.156	1.125
7	-5.341	-5.705	-5.808	-5.847	
	2.014	1.999	1.994	1.992	
	1.321	1.223	1.171	1.156	
8	-5.403	-5.752	-5.850	-5.887	
	2.015	2.001	1.996	1.994	
	1.322	1.223	1.171	1.157	
9	-5.454	-5.789	-5.883	-5.917	
	2.016	2.002	1.998	1.996	
	1.322	1.224	1.172	1.157	

TABLE 3: Graphical data for E_{coh} vs MC(wa) data: Top to bottom in each cell:

optimized structure, ideal structure

Nanotube	R	intercept /eV	slope/ eV
L4	0.99984	14.07638	-12.48856
	0.99997	14.19735	-12.49735
L6	0.99991	10.89361	- 10.46594
	0.99993	12.0109	-11.21745
L8	0.99997	9.72185	- 9.74868
	0.99987	11.01278	-11.01278
L10	0.99999	9.13679	- 9.38393
	0.99981	10.50395	-10.30948
L20	1	8.6352	-9.17455
	0.99991	11.43204	-10.90631

TABLE 4: Weighted –Average Madelung Constants and Predicted Cohesive Energies for MgO nanotubes containing the same number of ions. Top to bottom in each cell: MC, E(coh) in eV. The most stable nanotube is highlighted.

number of ions	L4	L6	L8	L10	L20
3840	1.595	1.608	1.611	1.613	1.614
	-5.844	-6.086	-6.139	-6.163	-6.171
1920	1.595	1.607	1.611	1.612	1.613
	-5.840	-6.081	-6.134	-6.157	-6.160
960	1.594	1.606	1.610	1.611	1.610
	-5.833	-6.073	-6.124	-6.146	-6.139
480	1.593	1.605	1.608	1.608	1.606
	-5.818	-6.056	-6.105	-6.122	-6.095
240	1.591	1.602	1.604	1.604	1.596
	-5.788	-6.023	-6.066	-6.075	-6.010
120	1.586	1.596	1.596	1.594	1.578
	-5.730	-5.958	-5.987	-5.982	-5.838

TOC Graphic





Structure designations of MgO nanotubes. a. 4L4. b. 5L8 c.5L6. d.3L10. Tube axes are vertical 30x11mm (300 x 300 DPI)



E(coh) vs. Madelung Constant for optimized MgO nanotubes. The length of the tubes increases from left to right in all cases. 64x50mm (300 x 300 DPI)



A comparison of the E(coh) vs. Madelung Constant plots for ideal and optimized nanotubes of the L10 and L20 families. 66x53mm (300 x 300 DPI)



Optimized structures of L4, L6, L8,L10 and L20 nanotubes. In each case the longest tube that was optimized is shown. a) 6L20, b) 4L9, c) 9L10 d) 9L9,e) 9L6. Note that the barrel-like distortion is more evident for the L20 nanotube. Note that unlike Figure 1, the tube axes are horizontal. 172x361mm (300 x 300 DPI)

